

Application form

1. Your Choice of Account

Account Name

Please include full account name plus issue number if applicable

Application for investment in a Derbyshire Cash ISA account for the tax year / and each subsequent year until further notice

Branch code Staff No

Application received via post Face to face

Account Number

Maturity Date (if applicable)

2. Your Details

TITLE

FORENAME(S)

SURNAME

ADDRESS

POSTCODE

HOME TELEPHONE

WORK TELEPHONE

EMAIL

Are you an existing customer? Yes No

Existing account number

Identification confirmed (for office use only)

DATE OF BIRTH

Do you have a National Insurance Number? Yes No

National Insurance Number

3. Your Investment Details

I wish to invest £ Please make cheques payable to 'Derbyshire BS' - name of the applicant. This is a Cash ISA. You may only make subscriptions into one Cash ISA each tax year (6 April to 5 April). Currently you can invest £5,340 in a Cash ISA each tax year.

PLEASE NOTE: Fixed Rate accounts may be closed to new investments at short notice. If you invest less than £5,340 initially you may not be able to take advantage of your full Cash ISA allowance for this tax year.

4. ISA Transfer In Request

(For funds invested in a Cash ISA with another ISA Manager). Please make sure you have read and fully understand the conditions for transferring funds to Derbyshire Building Society from another ISA Manager before signing.

A separate Form should be completed for each Account being transferred.

YOUR CURRENT ISA

Details of the ISA Manager where you hold a Cash ISA from which you wish some or all of your subscriptions to be transferred to Derbyshire Building Society. You need to complete a separate application form for each account being transferred.

ISA Manager ISA Account Number

Address

Post Code

Please indicate the amount you are expecting to be transferred to Derbyshire Building Society £

If you are transferring from a Derbyshire Cash ISA, please enter the account number

APPLICATION, DECLARATIONS & AUTHORITY – BY ACCOUNT HOLDER TO NATIONWIDE BUILDING SOCIETY TRADING AS DERBYSHIRE BUILDING SOCIETY

1. APPLICATION

I apply to subscribe for the above cash ISA

- for the tax year shown overleaf and each subsequent tax year until further notice, and/or
- to transfer in funds invested in my existing cash ISA ('the Funds')

2. DECLARATION

I declare that:

- the information given in this application form is correct to the best of my knowledge and belief;
- all subscriptions made, and to be made, belong to me;
- I am 16 years of age or over;
- I have not subscribed and will not subscribe more than £10,680 in total to a cash ISA and a stocks and shares ISA in the same tax year;
- I have not subscribed and will not subscribe more than £5,340 of the overall £10,680 total to a cash ISA;
- I have not subscribed and will not subscribe to another cash ISA in the same tax year that I subscribe to the cash ISA; and,
- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Derbyshire Building Society if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.

3. AUTHORITY AND AGREEMENT

I authorise Derbyshire Building Society:

- to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
- to make on my behalf any claims to relief from tax in respect of ISA investments;

If I am transferring in Funds to this account from another ISA I agree and accept that:

- to retain my ISA benefits, the transfer of funds from one ISA Manager to another must be made directly between them and that my Funds will be transferred directly to Derbyshire Building Society by my existing ISA Manager; and
- once my existing ISA Manager has transferred the Funds to Derbyshire Building Society, they cannot be returned to the original ISA Manager and my instructions to invest in the Derbyshire Cash ISA cannot be cancelled, amended or varied.

4. TERMS OF THE ACCOUNT

I agree to be bound by the terms set out in:

- the Investment Conditions applicable from time to time; and
- the special conditions, which apply at any time to the above Cash ISA, contained in the ISAs brochure, the Account Terms leaflet, product insert and/or the Interest Rates leaflet issued from time to time by you (or any other documents containing special conditions); and
- your Rules


and I confirm that I have received a copy of the ISAs brochure, Account Terms leaflet, product insert and/or Interest Rates leaflet current as at the date of this declaration.

5. CHARITABLE ASSIGNMENT CONDITIONS

I also agree to the Charitable Assignment Conditions, a copy of which I have received, unless these do not apply to me, as stated in the conditions.

Consent and Confirmation

By signing this application form you are:

- confirming that you have read the sections entitled  "How we use your Personal Information and consent to the uses and disclosures of information listed.
- making the declarations and giving the authorities set out in the Declarations section above.

Signature

Dated

Derbyshire HOW WE USE YOUR PERSONAL INFORMATION Building Society

Any information about me and my account may be shared within Nationwide to open and manage the account, make lending decisions, collect debts, trace debtors, prevent fraud and money laundering and for business analysis. It may also be shared within Nationwide and with specialist companies for market research purposes on behalf of Nationwide. If you require further information you can ask for a copy of the leaflet 'How Nationwide uses your personal information. This can be requested from a branch and is also available online at www.nationwide.co.uk.

The information which you provide or which we, Nationwide Building Society, trading as Derbyshire Building Society, obtain through our dealings with you or in connection with your account will be held on our computers and in other records. (Please telephone our Customer Relationship Team on 08456 004 005 if you would like to know the names of the organisations marked * below. You have a legal right to these.)

- We will use this information to help us provide you with the service(s) you are applying for.
- We will use this information to check your identity to ensure that we meet money laundering regulations.
- We may make searches about you at credit reference agencies who will supply us with information, including information from the Electoral Register, for the purpose of verifying your identity. The agencies will record details of the search whether or not this application proceeds. The searches will not be seen or used by lenders to assess your ability to obtain credit. We may use scoring methods to assess this application and to verify your identity. Credit searches and other information which is provided to us and/or the credit reference agencies, about you and those with whom you are linked financially may be used by Nationwide Building Society and other companies if you apply for other facilities including insurance applications and claims. This information may also be used for debt tracing and the prevention of money laundering as well as management of your account. We may do further checks of this type throughout the course of your account.
- It is important that you give us accurate information as we will regularly check your details with credit and fraud prevention agency/ies*. If you give false or inaccurate information and we suspect fraud, we will record this. We and other organisations may use and search these records to:
- help make decisions about credit and credit related services, for you;
- help make decisions on motor, household, credit, life and other insurance proposals and claims for you;
- trace debtors, recover debt, prevent fraud, to profile and manage your accounts or insurance policies;
- check your identity to prevent laundering.

Any information about me and my account can be shared within Nationwide to prevent or detect fraud, or to assist in verifying my identity. You may also search the records of fraud prevention agencies who will supply you with information. You may pass information to financial and other organisations involved in fraud prevention to protect yourselves and your customers from theft and fraud. If I give you false or inaccurate information and you identify fraud, you will record this and pass it to fraud prevention agencies to prevent fraud and money laundering.

We and fraud prevention agencies will also use the records for statistical analysis about credit, information in this way.

- We will use information about your nationality in connection with identity checks and for assessing the applicability of any sanctions or limitations on international business.
- We will also use this information:
- to update or enhance our customer records, and for account administration;
- to help us develop goods or services that may be of interest to you in the future;
- to create and maintain a customer profile on you to help with product, service and policy development, and to identify and introduce you to products, including those of other organisations, which may be of interest to you;
- to carry out market research, detailed statistical and business analysis;
- to detect or prevent fraud, and for legal and regulatory compliance;
- for the purposes referred to in the Charitable Assignment Conditions.
- We may pass on this information:
- to your solicitor, financial adviser, any organisation that introduced you to us and any other of your professional advisers;
- to anyone you appoint to administer or operate the account on your behalf;
- to regulatory authorities (including regulators of voluntary Codes of Practice), to HM Revenue & Customs and any other person/corporate body having a legal right to the information;
- to any other organisation if the law allows us to do so;
- to our professional advisers, auditors and any individual or organisation that we contract or employ to provide goods or services to us;
- the Nationwide Foundation as defined in the Charitable Assignment Conditions.

The Derbyshire may inform you of special offers, products and services, either by letter, telephone or e-mail. If you are a new Derbyshire customer and you do not wish to receive this information by letter, telephone or email, or any combination of these you can write to us at Derbyshire Building Society, Customer Services, Caledonia House, Carnegie Avenue, Dunfermline KY11 8PJ. If you are an existing Derbyshire customer your current marketing preferences will continue unless you tell us otherwise. If you have given a previous marketing instruction to Nationwide Building Society, its subsidiaries or trading divisions, your request to them will not change. The Derbyshire Building Society is a trading division of Nationwide. 'Nationwide' means Nationwide Building Society, its subsidiaries and trading divisions. If you require further information you can ask for a copy of our leaflet 'MIS3 - HOW WE USE YOUR PERSONAL INFORMATION. This can be requested from a branch and is also available online at www.thederbyshire.co.uk

- We will give you a copy of the information we hold about you, on payment of a fee, if you apply to us in writing to Customer Services, Caledonia House, Carnegie Avenue, Dunfermline KY11 8PJ.
- All calls are recorded and may be monitored for service quality or security purposes to prevent or detect crime.

Please call our Customer Relationship Team for assistance on 08456 004 005

Derbyshire Building Society, Savings & Investment Team, PO Box 29216, Dunfermline KY12 2BZ.

Derbyshire Building Society is a trading division of Nationwide Building Society.

Nationwide Building Society, Head Office, Nationwide House, Pipers Way, Swindon, Wiltshire, SN38 1NW.

B289 12.11